Session of 2015

SENATE BILL No. 206

By Committee on Federal and State Affairs

2-11

AN ACT concerning public agencies; relating to the state of Kansas and 1 2 local units of government; providing certain powers to the attorney general for investigation of violations of the open records act and the 3 4 open meetings act; open government fund; amending K.S.A. 45-223, 45-228 and 75-4320a and K.S.A. 2014 Supp. 45-222, 75-4320 and 75-5 6 4320b and repealing the existing sections. 7 8 *Be it enacted by the Legislature of the State of Kansas:* 9 New Section 1. (a) The attorney general may determine by a 10 preponderance of the evidence after an investigation that a public agency has violated K.S.A. 45-215 et seq., and amendments thereto, and may, at 11 12 any time prior to the filing of an action pursuant to K.S.A. 45-222, and amendments thereto, either enter into a consent order with the public 13 agency or issue a finding of violation to the public agency. 14 15 (1) If the attorney general enters into a consent order with the public 16 agency, the consent order: (A) May contain admissions of fact and any or all of the following: 17 18 (i) Require completion of training approved by the attorney general 19 concerning the requirements of K.S.A. 45-215 et seq., and amendments 20 thereto: 21 impose a civil penalty as provided for in K.S.A. 45-223, and (ii) 22 amendments thereto, in an amount not to exceed \$250 for each violation; 23 and 24 (iii) set forth the public agency's agreement that it will comply with 25 the requirements of the open records act, K.S.A. 45-215 et seq., and 26 amendments thereto: and 27 (B) shall bear the signature of the head of the public agency, of any 28 officer found to have violated the provisions of K.S.A. 45-215 et seq., and 29 amendments thereto, and of any other person required by the attorney 30 general. If the public agency is a governing body, all of the members of the 31 governing body shall sign the consent order. 32 (2) If the attorney general issues a finding of violation to the public 33 agency, the finding may contain findings of fact and conclusions of law and require the public agency to do any or all of the following: 34 35 (A) Cease and desist from further violation; 36 comply with the provisions of K.S.A. 45-215 et seq., and (B)

1 amendments thereto;

2 (C) complete training approved by the attorney general concerning 3 the requirements of K.S.A. 45-215 et seq., and amendments thereto; and

4 (D) pay a civil penalty as provided for in K.S.A. 45-223, and 5 amendments thereto, in an amount not to exceed \$500 for each violation.

6 (b) The attorney general may require submission of proof that 7 requirements of any consent order entered pursuant to subsection (a)(1) or 8 any finding of violation issued pursuant to subsection (a)(2) have been 9 satisfied.

10 (c) (1) The attorney general may apply to the district court to enforce 11 a consent order pursuant to subsection (a)(1) or finding of violation 12 pursuant to subsection (a)(2). Prior to applying to the district court, the 13 attorney general shall make a demand to the public agency to comply with 14 the consent order or finding of violation and afford reasonable opportunity 15 for the public agency to cure the violation.

16 (2) An enforcement action under this section may be filed in the 17 district court of the county where the consent order or finding of violation 18 is issued or is effective. The district court of any county shall have 19 jurisdiction to enforce any consent order or finding of violation.

(3) In any enforcement action under this section, the court on its own
 motion, or on the motion of either party, may view the records in
 controversy in camera before reaching a decision.

(4) If the district court finds the attorney general did not abuse the
attorney general's discretion in entering into the consent order or issuing
the finding of violation, the district court shall enter an order that:

26 (A) Enjoins the public agency to comply with the consent order or27 finding of violation;

(B) imposes a civil penalty as provided for in K.S.A. 45-223, and
amendments thereto. The penalty shall be set by the court in an amount not
less than the amount ordered by the attorney general, nor more than \$500
for each violation;

32 (C) requires the public agency to pay the attorney general's 33 reasonable costs in investigating and bringing an action to enforce the 34 order, including reasonable attorney fees; and

(D) provides for any other remedy authorized by K.S.A. 45-222(a),
 and amendments thereto, that the court deems appropriate.

37 (d) Any finding of violation issued by the attorney general pursuant38 to subsection (a)(2) shall be served upon the public agency:

39 (1) By certified mail, return receipt requested, to the last known place40 of business, residence or abode within or without this state; or

41 (2) in the manner provided in the code of civil procedure as if a 42 petition had been filed.

43 (e) The attorney general shall maintain and make available for public

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inspection all consent orders entered pursuant to subsection (a)(1) and all
 findings of violation issued pursuant to subsection (a)(2).

3 (f) This section shall be a part of and supplemental to the open 4 records act.

5 New Sec. 2. (a) In lieu of bringing an action as provided in K.S.A. 6 45-222, and amendments thereto, the attorney general or a county or 7 district attorney may resolve the matter by accepting a consent judgment 8 with respect to any act or practice declared to be a violation of this act. 9 Before any consent judgment entered into pursuant to this section shall be 10 effective, such judgment must be approved by the district court and an entry made thereof in the manner required for making an entry of 11 12 judgment. Once such approval is received, any breach of the conditions of 13 the consent judgment shall be treated as a violation of a court order, and shall be subject to all the penalties provided by law therefor. 14

(b) A consent judgment may contain any remedy available to the
district court, except it shall not include an award of reasonable expenses,
investigation costs or attorney fees. A consent judgment may include a
stipulation concerning the production of records requested pursuant to
K.S.A. 45-215 et seq., and amendments thereto, subject to any permissible
redactions as described in the consent judgment.

(c) This section shall be a part of and supplemental to the openrecords act.

New Sec. 3. (a) Any complaint submitted to the attorney general shall be on a form prescribed by the attorney general setting forth the facts that the complaining party believes show that K.S.A. 45-215 et seq., and amendments thereto, have been violated. The person submitting the complaint must attest to the facts under penalty of perjury pursuant to K.S.A. 53-601, and amendments thereto.

(b) This section shall be a part of and supplemental to the openrecords act.

New Sec. 4. (a) The attorney general may determine by a preponderance of the evidence after an investigation that a public agency has violated K.S.A. 75-4317 et seq., and amendments thereto, and may, at any time prior to the filing of an action pursuant to K.S.A. 75-4320a, and amendments thereto, either enter into a consent order with the public agency or issue a finding of violation to the public agency.

37 (1) If the attorney general enters into a consent order with the public38 agency, the consent order:

(A) May contain admissions of fact and any or all of the following:

(i) Require completion of training approved by the attorney general
concerning the requirements of K.S.A. 75-4317 et seq., and amendments
thereto;

43 (ii) impose a civil penalty as provided for in K.S.A. 75-4320, and

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amendments thereto, in an amount not to exceed \$250 for each violation;
 and

3 (iii) set forth the public agency's agreement that it will comply with 4 the requirements of the open meetings act, K.S.A. 75-4317 et seq., and 5 amendments thereto; and

6 (B) shall bear the signature of the head of the public agency, of any 7 officer found to have violated the provisions of K.S.A. 75-4317 et seq., 8 and amendments thereto, and of any other person required by the attorney 9 general. If the public agency is a governing body, all of the members of the 10 governing body shall sign the consent order.

(2) If the attorney general issues a finding of violation to the public
 agency, the finding may contain findings of fact and conclusions of law
 and require the public agency to do any or all of the following:

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(A) Cease and desist from further violation;

15 (B) comply with the provisions of K.S.A. 75-4317 et seq., and 16 amendments thereto;

17 (C) complete training approved by the attorney general concerning 18 the requirements of K.S.A. 75-4317 et seq., and amendments thereto; and

19 (D) pay a civil penalty as provided for in K.S.A. 75-4320, and 20 amendments thereto, in an amount not to exceed \$500 for each violation.

(b) The attorney general may require submission of proof that requirements of any consent order entered pursuant to subsection (a)(1) or any finding of violation issued pursuant to subsection (a)(2) have been satisfied.

25 (c) (1) The attorney general may apply to the district court to enforce 26 a consent order pursuant to subsection (a)(1) or finding of violation 27 pursuant to subsection (a)(2). Prior to applying to the district court, the 28 attorney general shall make a demand to the public agency to comply with 29 the consent order or finding of violation and afford reasonable opportunity 30 for the public agency to cure the violation.

(2) An enforcement action under this section may be filed in the
district court of the county where the consent order or finding of violation
is issued or is effective. The district court of any county shall have
jurisdiction to enforce any consent order or finding of violation.

(3) If the district court finds the attorney general did not abuse the
attorney general's discretion in entering into the consent order or issuing
the finding of violation, the district court shall enter an order that:

(A) Enjoins the public agency to comply with the consent order orfinding of violation;

40 (B) imposes a civil penalty as provided for in K.S.A. 75-4320, and 41 amendments thereto. The penalty shall be set by the court in an amount not 42 less than the amount ordered by the attorney general, nor more than \$500 43 for each violation; 1 (C) requires the public agency to pay the attorney general's 2 reasonable costs in investigating and bringing an action to enforce the 3 order, including reasonable attorney fees; and

4 5 (D) provides for any other remedy authorized by K.S.A. 75-4320a(a), and amendments thereto, that the court deems appropriate.

6 (d) Any finding of violation issued by the attorney general pursuant
7 to subsection (a)(2) shall be served upon the public agency:

8 (1) By certified mail, return receipt requested, to the last known place 9 of business, residence or abode within or without this state; or

10 (2) in the manner provided in the code of civil procedure as if a 11 petition had been filed.

(e) The attorney general shall maintain and make available for public
 inspection all consent orders entered pursuant to subsection (a)(1) and all
 findings of violation issued pursuant to subsection (a)(2).

15 (f) This section shall be a part of and supplemental to the open 16 meetings act.

17 New Sec. 5. (a) In lieu of bringing an action as provided in K.S.A. 75-4320a, and amendments thereto, the attorney general or a county or 18 19 district attorney may resolve the matter by accepting a consent judgment 20 with respect to any act or practice declared to be a violation of this act. 21 Before any consent judgment entered into pursuant to this section shall be 22 effective, such judgment must be approved by the district court and an 23 entry made thereof in the manner required for making an entry of judgment. Once such approval is received, any breach of the conditions of 24 25 the consent judgment shall be treated as a violation of a court order, and shall be subject to all the penalties provided by law therefor. 26

(b) A consent judgment may contain any remedy available to the
 district court, except it shall not include an award of reasonable expenses,
 investigation costs or attorney fees.

30 (c) This section shall be a part of and supplemental to the open 31 meetings act.

New Sec. 6. (a) Any complaint submitted to the attorney general shall be on a form prescribed by the attorney general setting forth the facts that the complaining party believes show that K.S.A. 75-4317 et seq., and amendments thereto, have been violated. The person submitting the complaint must attest to the facts under penalty of perjury pursuant to K.S.A. 53-601, and amendments thereto.

(b) This section shall be a part of and supplemental to the openmeetings act.

New Sec. 7. (a) There is hereby created in the state treasury the
attorney general's open government fund. Moneys in the attorney general's
open government fund shall be used by the attorney general to carry out
the provisions and purposes of the open records act, K.S.A. 45-215 et seq.,

and amendments thereto, and the open meetings act, K.S.A. 75-4317 et
 seq., and amendments thereto. All expenditures from the attorney general's
 open government fund shall be made in accordance with appropriation acts
 upon warrants of the director of accounts and reports issued pursuant to
 vouchers approved by the attorney general or a person designated by the
 attorney general.

7 (b) All civil penalties, expenses, costs and attorney fees awarded in 8 an action brought by the attorney general pursuant to the open records act, 9 K.S.A. 45-215 et seq., and amendments thereto, or the open meetings act, 10 K.S.A. 75-4317 et seq., and amendments thereto, or pursuant to a consent 11 order or finding of violation of the attorney general as provided in section 12 1 or section 4, and amendments thereto, shall be credited to the attorney 13 general's open government fund.

New Sec. 8. (a) Subject to the availability of appropriations, the attorney general shall provide and coordinate training throughout the state to promote knowledge of, and compliance with, the open records act, K.S.A. 45-215 et seq., and amendments thereto, and the open meetings act, K.S.A. 75-4317 et seq., and amendments thereto. The attorney general may consult and coordinate with any appropriate organization to provide training.

(b) The attorney general may establish a program of computerized training to promote knowledge of, and compliance with, the open records act, K.S.A. 45-215 et seq., and amendments thereto, and the open meetings act, K.S.A. 75-4317, and amendments thereto, and to make training available throughout the state.

(c) The attorney general may approve training programs that satisfy
training requirements imposed by the district court or by any order or
judgment pursuant to the open records act, K.S.A. 45-215 et seq., and
amendments thereto, and the open meetings act, K.S.A. 75-4317 et seq.,
and amendments thereto.

New Sec. 9. The attorney general may adopt rules and regulations to
implement and administer the provisions of the open records act, K.S.A.
45-215 et seq., and amendments thereto, and the open meetings act, K.S.A.
75-4317 et seq., and amendments thereto.

35 Sec. 10. K.S.A. 2014 Supp. 45-222 is hereby amended to read as 36 follows: 45-222. (a) The district court of any county in which public 37 records are located shall have jurisdiction to enforce the purposes of this 38 act with respect to such records, by injunction, mandamus, *declaratory* 39 judgment or other appropriate order, in an action brought by any person, 40 the attorney general or a county or district attorney. The district court may 41 require a defendant to complete training approved by the attorney general 42 concerning the requirements of the open records act.

43 (b) In any action hereunder, the court shall determine the matter de

novo. The court on its own motion, or on motion of either party, may view
 the records in controversy in camera before reaching a decision.

3 (c) In any action hereunder, or under section 1, and amendments 4 thereto, the burden of proof shall be on the public agency to sustain its 5 action.

6 (d) In any action hereunder, the court shall award costs and a 7 reasonable sum as an attorney's fee for services rendered in such action, 8 including proceedings on appeal, to be recovered and collected as part of 9 the costs to the plaintiff if the court finds that the agency's denial of access 10 to the public record was not in good faith and without a reasonable basis in 11 fact or law. The award shall be assessed against the public agency that the 12 court determines to be responsible for the violation.

13 (d)(e) In any action hereunder in which the defendant is the 14 prevailing party, the court shall award to the defendant costs and a 15 reasonable sum as an attorney's fee for services rendered in such action, 16 including proceedings on appeal, to be recovered and collected as part of 17 the costs if the court finds that the plaintiff maintained the action not in 18 good faith and without a reasonable basis in fact or law.

19 (e)(f) In any action hereunder brought by the attorney general or a 20 county or district attorney, if the court finds that any provisions were 21 violated, the court: (1) May award the attorney general's or the county or 22 district attorney's reasonable expenses, investigation costs and attorney 23 fees; and (2) shall award the same if the court determines that the 24 violation was not in good faith and without a reasonable basis in fact or 25 law.

(g) Except as otherwise provided by law, proceedings arising under
 this section shall be assigned for hearing and trial at the earliest practicable
 date.

Sec. 11. K.S.A. 45-223 is hereby amended to read as follows: 45-223. (a) Any public agency subject to this act that knowingly violates any of the provisions of this act or that intentionally fails to furnish information as required by this act shall be liable for the payment of a civil penalty in an action brought by the attorney general or *a* county or district attorney, in a sum set by the court of not to exceed \$500 for each violation.

(b) Any civil penalty sued for and recovered hereunder by the
attorney general shall be paid into the-state general attorney general's *open government* fund. Any civil penalty sued for and recovered hereunder
by a county or district attorney shall be paid into the general fund of the
county in which the proceedings were instigated.

K.S.A. 45-228 is hereby amended to read as follows: 45-228. 1 Sec. 12. (a) In investigating alleged violations of the Kansas open records act, the 2 attorney general or county or district attorney may: 3

 $\frac{1}{2}$ (1) Subpoena witnesses, evidence, *records*, documents or other 4 5 material:

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(b)(2) take testimony under oath;

(e)(3) examine or cause to be examined any records or other 7 8 documentary material of whatever nature relevant to such alleged 9 violations:

(d)(4) require attendance during such examination of documentary 10 material and take testimony under oath or acknowledgment in respect of 11 12 any such documentary material; and

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(e)(5) serve interrogatories; and (6) administer oaths and affirmations.

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(b) If a public agency claims in writing that any records or 15 documents, or any portion thereof, obtained by the attorney general or a 16 county or district attorney pursuant to subsection (a) are exempt from 17 disclosure for any reason, the attorney general or a county or district 18 attorney shall not further disclose that record or document, nor the 19 contents thereof, unless ordered to do so by a district court enforcing the 20 open records act in connection with such record or document. Such 21 22 records and documents in the possession of the attorney general or a 23 county or district attorney shall not be subject to a request for inspection and copying under the open records act and shall not be subject to 24 25 discovery, subpoena, or other process.

(c) Service by the attorney general or a county or district attorney of 26 any interrogatories or subpoena upon any person, shall be made: 27

(1) By certified mail, return receipt requested, to the last known place 28 of business, residence or abode within or without this state; or 29

30 (2) in the manner provided in the code of civil procedure as if a 31 petition had been filed.

(d) If any person willfully fails or refuses to file any response to a 32 request for information, records or other materials required by this 33 section, respond to interrogatories or obey any subpoena issued by the 34 attorney general or a county or district attorney, the attorney general or a 35 county or district attorney may, after notice, apply to the district court of 36 the county where the request, interrogatories or subpoena was issued, or 37 38 of any other county where venue is proper, and after a hearing thereon the 39 district court may:

(1) Issue an order requiring a response to the request for 40 information, records or other materials, a response to the interrogatories 41 or compliance with the subpoena; or 42

43 (2) grant such other relief as may be required, until the person SB 206-Am. by SC

1 provides the requested response for information, records or other 2 materials, responds to the interrogatories or obeys the subpoena.

3 Sec. 13. K.S.A. 2014 Supp. 75-4320 is hereby amended to read as 4 follows: 75-4320. (a) Any member of a body or agency subject to this act 5 who knowingly violates any of the provisions of this act or who 6 intentionally fails to furnish information as required by-subsection (b) of 7 K.S.A. 75-4318(b), and amendments thereto, shall be liable for the 8 payment of a civil penalty in an action brought by the attorney general or 9 county or district attorney, in a sum set by the court of not to exceed \$500 for each violation. In addition, any binding action which is taken at a 10 meeting not in substantial compliance with the provisions of this act shall 11 12 be voidable in any action brought by the attorney general or county or district attorney in the district court of the county in which the meeting 13 was held within 21 days of the meeting, and the court shall have 14 15 jurisdiction to issue injunctions or writs of mandamus to enforce the 16 provisions of this act.

17 (b) Civil penalties sued for and recovered hereunder by the attorney 18 general shall be paid into the state general attorney general's open 19 government fund. Civil penalties sued for and recovered hereunder by a 20 county or district attorney shall be paid into the general fund of the county 21 where the proceedings were instigated.

(c) No fine shall be imposed pursuant to subsection (a) for violations
 of subsection (f) of K.S.A. 75-4318(f), and amendments thereto, which
 occur prior to July 1, 2009.

25 Sec. 14. K.S.A. 75-4320a is hereby amended to read as follows: 75-4320a. (a) The district court of any county in which a meeting is held shall 26 27 have jurisdiction to enforce the purposes of K.S.A. 75-4318 and 75-4319, 28 and amendments thereto, with respect to such meeting, by injunction, mandamus, declaratory judgment or other appropriate order, on 29 30 application of any person. The district court may require a defendant to 31 complete training approved by the attorney general concerning the 32 requirements of the open meetings act.

(b) In any action hereunder *or under section 4, and amendments thereto*, the burden of proof shall be on the public body or agency to
 sustain its action.

(c) In any action hereunder, the court may award court costs to the
person seeking to enforce the provisions of K.S.A. 75-4318 or 75-4319,
and amendments thereto, if the court finds that the provisions of those
statutes were violated. The award shall be assessed against the public
agency or body responsible for the violation.

(d) In any action hereunder in which the defendant is the prevailing
party, the court may award to the defendant court costs if the court finds
that the plaintiff maintained the action frivolously, not in good faith or

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without a reasonable basis in fact or law. 1

2 (e) In any action hereunder brought by the attorney general or a county or district attorney, if the court finds that any provisions of K.S.A. 3 75-4318 or 75-4319, and amendments thereto, were violated, the court: 4 (1) May award the attorney general's or the county or district attorney's 5 6 reasonable expenses, investigation costs and attorney fees; and (2) shall 7 award the same if the court determines that the violation was not in good 8 faith and without a reasonable basis in fact or law.

9 (f) Except as otherwise provided by law, proceedings arising under this section shall take precedence over all other cases and shall be assigned 10 for hearing and trial at the earliest practicable date. 11

12 (f)(g) As used in this section, "meeting" has the meaning provided by K.S.A. 75-4317a, and amendments thereto. 13

Sec. 15. K.S.A. 2014 Supp. 75-4320b is hereby amended to read as 14 15 follows: 75-4320b. (a) In investigating alleged violations of the Kansas 16 open meetings act, the attorney general or county or district attorney may:

17 Subpoena witnesses, evidence, records, documents or other (a)(1) 18 material:

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(b)(2) take testimony under oath;

(e)(3) examine or cause to be examined any records or other 20 21 documentary material of whatever nature relevant to such alleged 22 violations;

23 (d)(4) require attendance during such examination of documentary material and take testimony under oath or acknowledgment in respect of 24 25 any such documentary material; and

(c)(5) serve interrogatories; and 26 27

(6) administer oaths and affirmations.

28 *(b) Service by the attorney general or a county or district attorney of* 29 any interrogatories or subpoena upon any person, shall be made:

30 (1) By certified mail, return receipt requested, to the last known place 31 of business, residence or abode within or without this state; or

32 (2) in the manner provided in the code of civil procedure as if a 33 petition had been filed.

34 (c) If any person willfully fails or refuses to file any response to a request for information, records or other materials required by this 35 section, respond to interrogatories or obey any subpoena issued by the 36 37 attorney general or a county or district attorney, the attorney general or a 38 county or district attorney may, after notice, apply to the district court of 39 the county where the request, interrogatories or subpoena was issued, or of any other county where venue is proper, and after a hearing thereon the 40 41 district court may:

42 (1) Issue an order requiring a response to the request for 43 information, records or other materials, a response to interrogatories or SB 206—Am. by SC

1 compliance with the subpoena; or

2 (2) grant such other relief as may be required, until the person 3 provides the requested response for information, records or other 4 materials, responds to the interrogatories or obeys the subpoena.

5 Sec. 16. K.S.A. 45-223, 45-228 and 75-4320a and K.S.A. 2014 Supp. 6 45-222, 75-4320 and 75-4320b are hereby repealed.

7 Sec. 17. This act shall take effect and be in force from and after its 8 publication in the statute book.