Session of 2015

HOUSE BILL No. 2201

By Representative Wilson

2-2

AN ACT concerning the adult care home licensure act; relating to adult
 care home requirements, admissions and penalties; licensed and
 unlicensed employees; amending K.S.A. 39-953b and K.S.A. 2014
 Supp. 39-936, 39-946 and 39-953a and repealing the existing sections.

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Be it enacted by the Legislature of the State of Kansas:

7 Section 1. K.S.A. 2014 Supp. 39-936 is hereby amended to read as 8 follows: 39-936. (a) The presence of each resident in an adult care home 9 shall be covered by a statement provided at the time of admission, or prior thereto, setting forth the general responsibilities and services and daily or 10 monthly charges for such responsibilities and services. Each resident shall 11 12 be provided with a copy of such statement, with a copy going to any 13 individual responsible for payment of such services and the adult care 14 home shall keep a copy of such statement in the resident's file. No such 15 statement shall be construed to relieve any adult care home of any 16 requirement or obligation imposed upon it by law or by any requirement, 17 standard or rule and regulation adopted pursuant thereto.

(b) (1) A qualified person or persons shall be in attendance at all
times upon residents receiving accommodation, board, care, training or
treatment in adult care homes. The licensing agency may establish
necessary standards and rules and regulations prescribing the number,
qualifications, training, standards of conduct and integrity for such
qualified person or persons attendant upon the residents.

24 (2) An adult care home shall conspicuously post for each wing and 25 shift the current number of licensed and unlicensed nursing personnel directly responsible for resident care and current ratios of residents to 26 27 licensed and unlicensed nursing personnel. Such information shall be 28 displayed on a form approved by the secretary for aging and disability 29 services. For the purposes of this subsection, "licensed nursing personnel" 30 means registered professional nurses and licensed practical nurses and 31 "unlicensed nursing personnel" means nurse aides, nurse aide trainees, 32 medication aides and paid nutrition assistants.

(c) (1) The licensing agency shall require unlicensed employees of an
 adult care home, except an adult care home licensed for the provision of
 services to people with intellectual disability which has been granted an
 exception by the secretary for aging and disability services upon a finding

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1 by the licensing agency that an appropriate training program for 2 unlicensed employees is in place for such adult care home, employed on 3 and after the effective date of this act January 1, 2016, who provide direct, 4 individual care to residents and who do not administer medications to 5 residents-and who have not completed a course of education and training-6 relating to resident care and treatment approved by the secretary for aging 7 and disability services or are not participating in such a course on the-8 effective date of this act to complete successfully-40 90 hours of education 9 and training in basic resident care-skills and treatment approved by the secretary for aging and disability services. Any unlicensed person who has 10 11 not completed-40 90 hours of education and training relating to resident 12 care and treatment approved by the secretary for aging and disability services shall not provide direct, individual care to residents. The-40 90 13 14 hours of education and training shall be supervised by a registered 15 professional nurse and the content and administration thereof shall comply with rules and regulations adopted by the secretary for aging and disability 16 17 services. The-40 90 hours of education and training may be prepared and 18 administered by an adult care home or by any other qualified person and 19 may be conducted on the premises of the adult care home. The-40 90 hours 20 of *education and* training required in this section shall be a part of any 21 course of education and training required by the secretary for aging and 22 disability services under subsection (c)(2). Training for paid nutrition

assistants shall consist of at least-eight 12 hours of instruction, at a

24 minimum, which meets the requirements of 42 C.F.R. § 483.160. 25 (2) The licensing agency may require unlicensed employees of an 26 adult care home, except an adult care home licensed for the provision of 27 services to people with intellectual disability which has been granted an 28 exception by the secretary for aging and disability services upon a finding 29 by the licensing agency that an appropriate training program for unlicensed employees is in place for such adult care home, who provide 30 31 direct, individual care to residents and who do not administer medications 32 to residents and who do not meet the definition of paid nutrition assistant 33 under-paragraph (a)(27) of K.S.A. 39-923(a)(27), and amendments thereto, 34 after-90 60 days of employment to successfully complete an approved 35 course of instruction and an examination relating to resident care and 36 treatment as a condition to continued employment by an adult care home. 37 A course of instruction may be prepared and administered by any adult 38 care home or by any other gualified person. A course of instruction 39 prepared and administered by an adult care home may be conducted on the 40 premises of the adult care home which prepared and which will administer 41 the course of instruction. The licensing agency shall not require unlicensed 42 employees of an adult care home who provide direct, individual care to residents and who do not administer medications to residents to enroll in 43

1 any particular approved course of instruction as a condition to the taking 2 of an examination, but the secretary for aging and disability services shall 3 prepare guidelines for the preparation and administration of courses of 4 instruction and shall approve or disapprove courses of instruction. 5 Unlicensed employees of adult care homes who provide direct, individual 6 care to residents and who do not administer medications to residents may 7 enroll in any approved course of instruction and upon completion of the 8 approved course of instruction shall be eligible to take an examination. 9 The examination shall be prescribed by the secretary for aging and 10 disability services, shall be reasonably related to the duties performed by unlicensed employees of adult care homes who provide direct, individual 11 12 care to residents and who do not administer medications to residents and 13 shall be the same examination given by the secretary for aging and 14 disability services to all unlicensed employees of adult care homes who 15 provide direct, individual care to residents and who do not administer 16 medications.

17 (3) The secretary for aging and disability services shall fix, charge 18 and collect a fee to cover all or any part of the costs of the licensing 19 agency under this subsection (c). The fee shall be fixed by rules and 20 regulations of the secretary for aging and disability services. The fee shall 21 be remitted to the state treasurer in accordance with the provisions of 22 K.S.A. 75-4215, and amendments thereto. Upon receipt of each such 23 remittance, the state treasurer shall deposit the entire amount in the state 24 treasury to the credit of the state general fund.

(4) The secretary for aging and disability services shall establish a
state registry containing information about unlicensed employees of adult
care homes who provide direct, individual care to residents and who do not
administer medications in compliance with the requirements pursuant to
PL 100-203, Subtitle C, as amended November 5, 1990.

(5) No adult care home shall use an individual as an unlicensed
employee of the adult care home who provides direct, individual care to
residents and who does not administer medications unless the facility has
inquired of the state registry as to information contained in the registry
concerning the individual.

35 (6) Beginning July 1, 1993 2015, the adult care home must require 36 any unlicensed employee of the adult care home who provides direct, 37 individual care to residents and who does not administer medications and 38 who since passing the examination required under paragraph (2) of this 39 subsection has had a continuous period of-24 18 consecutive months 40 during none of which the unlicensed employee provided direct, individual care to residents to complete an approved refresher course. The secretary 41 42 for aging and disability services shall prepare guidelines for the 43 preparation and administration of refresher courses and shall approve or

1 disapprove courses.

(d) Any person who has been employed as an unlicensed employee of
an adult care home in another state may be so employed in this state
without an examination if the secretary for aging and disability services
determines that such other state requires training or examination, or both,
for such employees at least equal to that required by this state.

7 (e) All medical care and treatment shall be given under the direction
8 of a physician authorized to practice under the laws of this state and shall
9 be provided promptly as needed.

(f) No adult care home shall require as a condition of admission to or
as a condition to continued residence in the adult care home that a person
change from a supplier of medication needs of their choice to a supplier of
medication selected by the adult care home. Nothing in this subsection (f)
shall be construed to abrogate or affect any agreements entered into prior
to the effective date of this act between the adult care home and any
person seeking admission to or resident of the adult care home.

(g) Except in emergencies as defined by rules and regulations of the licensing agency and except as otherwise authorized under federal law, no resident may be transferred from or discharged from an adult care home involuntarily unless the resident or legal guardian of the resident has been notified in writing at least 30 days in advance of a transfer or discharge of the resident.

(h) No resident who relies in good faith upon spiritual means or
 prayer for healing shall, if such resident objects thereto, be required to
 undergo medical care or treatment.

26 Sec. 2. K.S.A. 2014 Supp. 39-946 is hereby amended to read as 27 follows: 39-946. (a) If upon reinspection by the state fire marshal or the 28 marshal's representative or a duly authorized representative of the 29 secretary for aging and disability services, which reinspection shall be conducted within 14 days from the day the correction order is served upon 30 31 the licensee, it is found that the licensee of the adult care home which was 32 issued a correction order has not corrected the deficiency or deficiencies 33 specified in the order, the secretary for aging and disability services may 34 assess a civil penalty in an amount not to exceed \$500 per day per 35 deficiency against the licensee of an adult care home for each day 36 subsequent to the day following the time allowed for correction of the 37 deficiency as specified in the correction order that the adult care home has 38 not corrected the deficiency or deficiencies listed in the correction order, 39 but the maximum assessment shall not exceed \$2,500 \$3,000. A written 40 notice of assessment shall be served upon the licensee of an adult care 41 home either personally or by certified mail, return receipt requested.

42 (b) Before the assessment of a civil penalty, the secretary for aging 43 and disability services shall consider the following factors in determining

1 the amount of the civil penalty to be assessed: (1) The severity of the 2 violation; (2) the good faith effort exercised by the adult care home to 3 correct the violation; and (3) the history of compliance of the ownership of 4 the adult care home with the rules and regulations. If the secretary for 5 aging and disability services finds that some or all deficiencies cited in the 6 correction order have also been cited against the adult care home as a 7 result of any inspection or investigation which occurred within 18 months 8 prior to the inspection or investigation which resulted in such correction 9 order, the secretary for aging and disability services may double the civil 10 penalty assessed against the licensee of the adult care home, the maximum not to exceed \$5.000 \$6.000. 11

12 (c) All civil penalties assessed shall be due and payable within 10 days after written notice of assessment is served on the licensee, unless a 13 longer period of time is granted by the secretary. If a civil penalty is not 14 paid within the applicable time period, the secretary for aging and 15 16 disability services may file a certified copy of the notice of assessment 17 with the clerk of the district court in the county where the adult care home 18 is located. The notice of assessment shall be enforced in the same manner 19 as a judgment of the district court.

Sec. 3. K.S.A. 2014 Supp. 39-953a is hereby amended to read as 20 21 follows: 39-953a. (a) At any time the secretary for aging and disability 22 services initiates any action concerning an adult care home in which it is 23 alleged that there has been a substantial failure to comply with the 24 requirements, standards or rules and regulations established under the 25 adult care home licensure act, that conditions exist in the adult care home 26 which are life threatening or endangering to the residents of the adult care 27 home, that the adult care home is insolvent, or that the adult care home has 28 deficiencies which significantly and adversely affect the health, safety, 29 nutrition or sanitation of the adult care home residents, the secretary for 30 aging and disability services-may shall issue an order, pursuant to the 31 emergency proceedings provided for under the Kansas administrative 32 procedure act, prohibiting any new admissions into the adult care home 33 until further determination by the secretary for aging and disability 34 services. This remedy granted to the secretary for aging and disability 35 services is in addition to any other statutory authority the secretary for 36 aging and disability services has relating to the licensure and operation of 37 adult care homes and is not be construed to limit any of the powers and 38 duties of the secretary for aging and disability services under the adult care 39 home licensure act.

40 (b) This section shall be part of and supplemental to the adult care 41 home licensure act.

42 Sec. 4. K.S.A. 39-953b is hereby amended to read as follows: 39-43 953b. (a) The secretary shall issue annually to each adult care home a report summarizing by category of licensure, violation and frequency of
 occurrence those violations which have resulted in the issuance of
 correction orders and civil penalties within the preceding-twelve-month
 12-month period.

5 (b) The secretary shall report annually to the committee on children 6 and seniors and the social services budget committee of the house of 7 representatives, and the committee on public health and welfare and the 8 committee on ways and means of the senate, an assessment of whether the 9 minimum nursing care hours established pursuant to section 5, and 10 amendments thereto, are sufficient to meet residents' needs. If the secretary finds that such minimum nursing care hours are insufficient to 11 12 meet the needs of residents, the secretary shall include in the annual 13 report required by this subsection any recommendations to adjust 14 minimum nursing care hours to sufficiently meet residents' needs.

15 (c) This section shall be part of and supplemental to the adult care 16 home licensure act.

New Sec. 5. (a) As used in this section:

18 (1) "Nurse aide personnel" means nurse aides, nurse aide trainees,19 medication aides or paid nutrition assistants.

(2) "Qualified nursing personnel" means:

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(A) Registered professional nurses or licensed practical nurses;

(B) nurse aides, nurse aide trainees or medication aides; and

23 (C) paid nutrition assistants.

(3) "Resident day" means a period of service rendered to a resident
between census-taking hours on two successive days and all other days in
an adult care home. Census-taking hours shall consist of 24 hours
beginning at midnight.

(b) (1) The minimum nursing care hours provided in this section
 apply to all residents residing within an adult care home, regardless of
 payment source.

(2) On and after July 1, 2015, the required hours of qualified nursing
personnel per resident day in nursing facilities shall be at least 2 hours and
50 minutes, of which at least 40 minutes shall be allocated to registered
professional nurse time, at least 27 minutes shall be allocated to licensed
practical nurse time, and at least one hour and 43 minutes shall be
allocated to nurse aide personnel time;

(3) on and after July 1, 2016, the required hours of qualified nursing
personnel per resident day in nursing facilities shall be at least 3 hours and
39 minutes, of which at least 51 minutes shall be allocated to registered
professional nurse time, at least 35 minutes shall be allocated to licensed
practical nurse time, and at least two hours and 13 minutes shall be
allocated to nurse aide personnel time; and

43 (4) on and after July 1, 2017, the required hours of qualified nursing

1 personnel per resident day in nursing facilities shall be at least 4 hours and 2 26 minutes, of which at least 62 minutes shall be allocated to registered 3 professional nurse time, at least 42 minutes shall be allocated to licensed 4 practical nurse time, and at least two hours and 42 minutes shall be 5 allocated to nurse aide personnel time.

6 (c) (1) For nursing facilities of 60 residents or less, registered 7 professional nurse time may include the director of nursing;

8 (2) for nursing facilities of 61 through 100 residents, registered 9 professional nurse time shall not include the director of nursing; and

10 (3) for nursing facilities of 101 residents or more, registered 11 professional nurse time shall not include the director of nursing or the 12 assistant director of nursing.

(d) Except as otherwise provided in subsections (b) and (c), nothing
 in this section shall be interpreted to change existing standards, rules and
 regulations established by the secretary for aging and disability services
 pursuant to K.S.A. 39-936, and amendments thereto.

(e) This section shall be part of and supplemental to the adult carehome licensure act.

Sec. 6. K.S.A. 39-953b and K.S.A. 2014 Supp. 39-936, 39-946 and
39-953a are hereby repealed.

21 Sec. 7. This act shall take effect and be in force from and after its 22 publication in the statute book.